

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
)	
Teng POO)	Group Art Unit: 2137
)	
Application No.: 09/898,365)	Examiner: Shewaye GELAGAY
)	
Filed: July 3, 2001)	
)	
For: Portable Device Having Biometric-based authentication capabilities)	Confirmation No.: 4356
)	

U.S. Patent and Trademark Office
Mail Stop: Amendment
P.O. Box 1450,
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), applicant brings to the attention of the Examiner the documents listed on the attached Form PTO/SB/08. This Information Disclosure Statement is being filed after the events recited in 1.97(b) but before the mailing date of a Final Rejection, a Notice of Allowance or a Quail action. Under the provisions of 37 C.F.R. §1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

Copies of the listed foreign patents and non-patent literature documents are attached. Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicant determines that the cited documents do not constitute

"prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.


Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any additional fee due in connection with the filing of this Statement, the Commissioner is hereby authorized to charge the fee to White & Case LLP Deposit Account No. 50-3672.

Respectfully submitted,

Dated: June 28, 2007

By:


Thomas V. DelRosario
Reg. No. 46,658

WHITE & CASE LLP
1155 Avenue of the Americas
New York, New York 10036
(650) 213-0323

Enclosures

Substitute for form 1449/PTO <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(Use as many sheets as necessary)</p>		<h3 style="text-align: center;">Complete if Known</h3> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>09/898/365</td> </tr> <tr> <td>Filing Date</td> <td>July 3, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Teng POO</td> </tr> <tr> <td>Art Unit</td> <td>2137</td> </tr> <tr> <td>Examiner Name</td> <td>GELAGAY, Shewaye</td> </tr> <tr> <td>Attorney Docket Number</td> <td>1601457-0007</td> </tr> </table>		Application Number	09/898/365	Filing Date	July 3, 2001	First Named Inventor	Teng POO	Art Unit	2137	Examiner Name	GELAGAY, Shewaye	Attorney Docket Number	1601457-0007
Application Number	09/898/365														
Filing Date	July 3, 2001														
First Named Inventor	Teng POO														
Art Unit	2137														
Examiner Name	GELAGAY, Shewaye														
Attorney Docket Number	1601457-0007														
Sheet	1	of	4												

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages/Figures Appear
		Number-Kind Code ² (if known)			
	1.	2002/0065083 A1	05-30-2002	Patel	
	2.	2002/0073234 A1	06-13-2002	Ma, David	
	3.	2002/0131397 A1	09-19-2002	Patel et al.	
	4.	2002/0174348 A1	11-21-2002	Ting	
	5.	2003/0122839 A1	07-03-2003	Matraszek	
	6.	2004/0025031 A1	02-05-2004	Ooi et al.	
	7.	2004/0049589 A1	03-11-2004	Papanikolaou et al.	
	8.	2004/0098598 A1	05-20-2004	Wolfram	
	9.	2004/0179588 A1	09-16-2004	Kuffner et al.	
	10.	2005/0235148 A1	10-20-2005	Scheidt et al.	
	11.	2006/0230202 A1	10-12-2006	Lee; Ju-Heon	
	12.	5,282,247	01-25-1994	McLean et al.	
	13.	5,469,564	11-25-1995	Junya	
	14.	5,655,077	08-05-1997	Jones et al.	
	15.	5,815,252	09-29-1998	Price-Francis	
	16.	5,943,603	08-24-1999	Parulski et al.	
	17.	5,978,833	11-02-1999	Pashley et al.	
	18.	6,061,799	05-09-2000	Eldridge et al.	
	19.	6,087,955	07-11-2000	Gray	
	20.	6,145,069	11-07-2000	Dye	
	21.	6,178,508	01-23-2001	Kaufman et al.	
	22.	6,330,648 B1	12-11-2001	Wambach et al.	
	23.	6,351,093 B1	02-26-2002	Kato et al.	
	24.	6,351,810 B2	02-26-2002	Gupta	
	25.	6,371,376 B1	04-16-2002	Ho et al.	
	26.	6,618,807 B1	09-09-2003	Wang et al.	
	27.	6,725,382 B1	04-20-2004	Thompson et al.	
	28.	6,753,921 B1	06-22-2004	Shimizu	
	29.	6,829,672 B1	12-07-2004	Deng et al.	

PALOALTO 81472 (2K)		Date Considered	
<p>¹EXAMINER. Initial of reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ²Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the calendar of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴Name of document by the applicant as indicated in the document under WIPO Standard ST.10 if possible. ⁵Applicant is to place a check mark here if English language translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or secure a benefit by the public which is filed (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 42 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p>			

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known Application Number 09/898/365 Filing Date July 3, 2001 First Named Inventor Teng POO Art Unit 2137 Examiner Name GELAGAY, Shewaye Attorney Docket Number 1601457-0007		
Sheet	2	of	4		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM- DD-YYYY	Name of Patentee Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages/Figures Appear
		Number-Kind Code ² (if known)			
	30.	6,848,045 B1	01-25-2005	Long et al.	
	31.	6,880,054 B1	04-21-2005	Cheng et al.	
	32.	6,970,183 B1	11-29-2005	Monroe, David	
	33.	6,976,075 B1	12-13-2005	Ma, David	
	34.	6,986,030 B1	01-10-2006	Shumueli et al.	
	35.	6,992,721 B1	01-31-2006	Kambayashi et	
	36.	7,036,738 B1	04-25-2006	Vanzini et al.	
	37.	7,039,759 B1	05-02-2006	Chent et al.	
	38.	7,111,324 B1	09-19-2006	Elteto	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	39.	CN1291750A	04-18-2001	Deng		
	40.	EP 0 674 290 B1	09-27-1995	Fujitsu Ltd.		
	41.	EP 0 923 018 A2	06-16-1999	NEC Corp.		
	42.	EP 1 085 521 A1	03-21-2001	Fujitsu Ltd.		
	43.	EP 1 152 324 A2	11-07-2001	Sony Corp.		
	44.	EP 1 215 877 A2, A3	06-19-2002	Canon		
	45.	EP 1 146 559 B1	03-08-2006	OmniVision		
	46.	GB 2 197 734 A	05-25-1988	Louis Newmark		
	47.	GB 2 361 558 A	10-24-2001	Ericsson		
	48.	JP 08-263631 A	10-11-1996	NTT		
	49.	JP 10-283292 A	10-23-1998	Tsuzuki Denki		
	50.	JP 11-053060 A	02-26-1999	NEC		
	51.	JP 11-143760 A	05-28-1999	IBM		
	52.	JP 11-184992 A	07-09-1999	Casio Comp		
	53.	JP 11-265324 A	09-28-1999	Hitachi Ltd.		

PALOALTO 81472 (2K)	Date Considered
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional). *See Kind Code of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST 1). *For Japanese patent documents, check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 15 U.S.C. 62 and 37 CFR 1.14. This collection is submitted to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	

Substitute for form 1449/PTO <div style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</div> <div style="text-align: center;">(Use as many sheets as necessary)</div>		<div style="text-align: center;">Complete if Known</div> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>09/898365</td> </tr> <tr> <td>Filing Date</td> <td>July 3, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Teng POO</td> </tr> <tr> <td>Art Unit</td> <td>2137</td> </tr> <tr> <td>Examiner Name</td> <td>GELAGAY, Shewaye</td> </tr> <tr> <td>Attorney Docket Number</td> <td>1601457-0007</td> </tr> </table>		Application Number	09/898365	Filing Date	July 3, 2001	First Named Inventor	Teng POO	Art Unit	2137	Examiner Name	GELAGAY, Shewaye	Attorney Docket Number	1601457-0007
Application Number	09/898365														
Filing Date	July 3, 2001														
First Named Inventor	Teng POO														
Art Unit	2137														
Examiner Name	GELAGAY, Shewaye														
Attorney Docket Number	1601457-0007														
Sheet	3	of	4												

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
	54.	JP 2000-181963 A	06-30-2000	Digital Derivative Tech.		
	55.	JP 2000-331166 A	11-30-2000	NEC Yonezawa		
	56.	JP 2000-350174 A	12-15-2000	Seiko Epson		
	57.	JP 2001-059701 A	03-06-2001	Sony Corp		
	58.	JP 2001-069260 A	03-16-2001	NTT Docomo Inc.		
	59.	JP 2001-069390 A	03-16-2001	Fujitsu Ltd		
	60.	JP 2001-118046 A	04-27-2001	Chin Meisho		
	61.	JP 2001-128113 A	05-11-2001	Fuji Photo Film Co.		
	62.	JP 2002-041247 A	02-08-2002	NEC Corp.		
	63.	JP 2002-222022 A	08-09-2002	Toshiba Corp.		
	64.	JP 2005-167965 A	06-23-2005	Matsumita		
	65.	JP 2006-030326 A	02-02-2006	Hitachi		
	66.	KR 1999-0018244 A	03-15-1999	LG Electronics		
	67.	KR 2000-0009757 A	02-15-2000	Samsung Electronics		
	68.	KR 2000-0061817 A	10-25-2000	Samsung Electronics		
	69.	KR 2001-0044238 A	06-05-2001	Core Digital		
	70.	KR 2001-0067730 A	07-13-2001	CLCSoft Co.		
	71.	KR 2001-0074291 A	08-04-2001	JANG, Sung Moon		
	72.	KR 2001-0097886 A	11-08-2001	MevoLcom Co.		
	73.	KR 2002-0014193 A	02-25-2002	Handy Com		
	74.	NZ 329369 (WO 9739549 A2	10-23-1997	Netco Communications		
	75.	TW 446851	07-21-2001	Omnivision Tech.		
	76.	WO 94/012938 A1	06-09-1994	Compaq Computers		
	77.	WO 95/16238 A1	06-15-1995	Telequip Corp.		
	78.	WO 97/39549 A2	10-23-1997	Netco Communicatn		
	79.	WO 00/14987 A1	03-16-2000	Tenzing, Inc.		

PAI.OAL TO 81472 (2K)

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional). *See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). *For Japanese patent documents, no indication of the year of the right of the inventor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16.2 if possible. *Applicant is to place a check mark here if English language translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. § 2 and 17 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known Application Number 09/898/365 Filing Date July 3, 2001 First Named Inventor Teng Poo Art Unit 2137 Examiner Name GELAGAY, Shewaye Attorney Docket Number 1601457-0007	
Sheet	4	of	4		

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code*+Number*+Kind Code*(if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
	80.	WO 00/19294 A2	04-06-2000	McRay, Matthew	
	81.	WO 00/03316 A1	01-20-2000	Ericsson	
	82.	WO 00/55707 A1	09-21-2000	SCM Microsystems	
	83.	WO 00/67098 A1	11-09-2000	Microsoft Corp.	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	84.	A Widget Makes a Bright Debut, article in "Shenzhen Special Zone Daily," October 9, 1999.	
	85.	Affidavit of Cheng Xiaohua of Netac Technology Co. Ltd., China; submitted to the High Court of the Republic of Singapore in Suit No. S672/2002/S; June 3, 2003	
	86.	Claims filed by the applicant of EP 1234305 on 10/10/2006	
	87.	Decision by the EPO to refuse EP publication no. 1234305 dated February 1, 2007.	
	88.	Decision issued by The UK Patent Office in Application under Section 72 for revocation of patent No. GB 2371653 dated November 8, 2006	
	89.	GROUNDS OF DECISION issued in Civil Appeals Nos 69 and 70 of 2005 in the Court of Appeals of the Republic of Singapore, December 30, 2005.	
	90.	JBMail, article, December 2001, "PC Tip Magazine." Switzerland.	
	91.	Minutes of Oral Proceedings held in EPO on 11/09/06 for EP Publication No. 1 234 305 issued on 02/01/2007	
	92.	SHENZHEN NETAC TECHNOLOGY Co., Ltd publication "Very Small" floppy disk-Only Disk, October 4, 1999.	
	93.	Statutory Declaration of Cheng Xiaohua of Netac Technology Co. Ltd., China; executed under oath in Singapore; September 8, 2003	
	94.	TOSHIBA: Press Release November 12, 2001 "Toshiba and Sandisk Introduce a One Gigabit NAND Flash Memory Chip, Doubling Capacity of Future Flash Products," Published November 12, 2001, Pages 1-3	
	95.	TOSHIBA: Press Release September 26, 2000 "Toshiba Introduces 512M bit and 1G bit NAND Flash Memories," Published September 26, 2000, Pages 1-2.	
	96.	WIBU-KEY User's Guide for Windows, DOS, OS/2 and MacOS on IBM compatible PCs and Macintosh Version 2.5, Published July 1998, Pages 11 to 16 and 25 to 27	

PALOALTO 81472 (2K)	Date Considered
*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional). *See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the right of the Inventor must precede the serial number of the patent document. *Kind of document by the appropriate symbol as indicated in the document under WPTD Standard ST.15 if possible. *Applicant to file a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.91 and 1.98. The information is required to obtain or retain a benefit by the public which is in file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 42 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	